

Arthur D. Felsenfeld



Partner

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PRACTICE AREAS

Arbitration/Mediation
Corporate Compliance,
Investigations and
Defense
Litigation
Subprime and
Distressed Assets

EDUCATION

JD, 1975, New York
University School of
Law

BS, 1972, *magna cum
laude*, Accounting,
Brooklyn College

ADMITTED

New York 1976
US Court of Appeals for
the Second Circuit
US Court of Appeals for
the Eleventh Circuit
US District Court for the
Southern District of New
York
US District Court for the
Eastern District of New
York
US District Court for the
Northern District of
Florida

Arthur's practice includes experience in general commercial litigation, with particular emphasis on securities and broker/dealer litigation and arbitration, accountants' liability, the defense of class and derivative actions, bankruptcy and real estate litigation, and corporate and partnership disputes. Arthur also serves as an arbitrator in commercial and securities matters. He has received an AV rating in Martindale-Hubbell.

REPRESENTATIVE EXPERIENCE

- Representation of securities broker-dealer in arbitrations arising out of customer investments in collateralized mortgage obligations.
- Representation of accounting firms and securities brokers in litigations, arbitrations and governmental investigations arising out of hedge fund failures.
- Representation of underwriter in defense of securities and antitrust class actions alleging undisclosed commissions, tie-in arrangements and improper use of analysts relating to allocations of initial public offerings.
- Representation of issuers and underwriters in defense of securities class actions.
- Representation of large international accounting firms in defense of malpractice actions arising out of audits and other accounting services.
- Representation of major securities brokerage firm in numerous litigations and arbitrations involving customer allegations of unauthorized trading, lack of suitability, churning and other trade practices.
- Representation of securities clearing firm in arbitrations against correspondent firms and their customers.
- Representation of securities brokerage firm in arbitrations involving disputes with former employees.
- Representation of securities broker-dealers and accountants in SEC investigations.
- Representation of buyers and sellers in litigations and arbitrations arising out of corporate acquisition agreements.
- Representation of directors in stockholders' derivative action brought by minority stockholders.

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ARTICLES/PUBLICATIONS

- "'Manifest Disregard' After 'Hall Street': The Early Returns" [New York Law Journal](#) (September 18, 2008)
- Soapbox: Federal Arbitration Act Tested [The Deal](#) (April 28, 2008)
- "Inadvertent Jury Trial Waiver" [New York Law Journal](#) (June 27, 1994)

BRIEFINGS, SEMINARS & SPEECHES

Panelist

"The Impact of Corporate Governance on Financial Reporting and Capital Markets," at NYU Stern School of Business (April 29, 2008)

PROFESSIONAL/CIVIC AFFILIATIONS

Member

- Association of the Bar of the City of New York

Assistant Counsel - New York State Governor's Judicial Nominating Committee, 1982

Arbitrator

- American Arbitration Association
- Financial Industry Regulatory Authority (FINRA)

Listed - New York Super Lawyers 2007 & 2008 in Business Litigation, [Metro Magazine](#) (September 2007 & 2008)

HEADLINE NEWS

- Four Andrews Kurth Partners Named in New York Super Lawyers 2008 (October 14, 2008)
- Law firms brace for subprime fallout (April 11, 2008)